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CAV1615
PTO/SB/21 (12-97)

Approved for use through 9/30/00. OMB 0651-0031

Patent and Trademark Office: U.S. Department of Commerce

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TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	08/849,525
Filing Date	August 29, 1997
First Named Inventor	Ghita LANZENDORFER
Group Art Unit	1615
Examiner Name	Venkat
Total Number of Pages in This Submission	Attorney Docket Number
	Beiersdorf 435-WCG

ENCLOSURES (check all that apply)

- | | | |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Fee Attached
<input type="checkbox"/> Amendment / Response
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input checked="" type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)
<input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition Routing Slip(PTO/SB/69) and Accompanying Petition
<input type="checkbox"/> To convert a Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Small Entity Statement
<input type="checkbox"/> Request for Refund | <input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
Form PTO 1449
Copies of 13 References
Copy of (2) German Search Rep. |
|---|--|--|

Remarks:

RECEIVED

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	William C. Gerstenzang Sprung Kramer Schaefer & Briscoe
Signature	
Date	10-4-99

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to : Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 10/4/99

Typed or printed name	Lee Ann DiLello		
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FEE TRANSMITTAL

Note: Effective October 1, 1997.
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 240.

Complete If Known

Application Number	08/849,525
Filing Date	August 29, 1997
First Named Inventor	Ghita LANZENDORFER
Group Art Unit	1615
Examiner Name	Venkat
Attorney Docket Number	Beiersdorf 435-WCG

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 19-3869
Deposit Account Name SPRUNG KRAMER SCHAEFER & BRISCOE

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

2. Payment Enclosed:

Check Money Order Other

FEE CALCULATION**1. FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid		
101	790	201	395	Utility filing fee	<input type="text"/>
106	330	206	165	Design filing fee	<input type="text"/>
107	540	207	270	Plant filing fee	<input type="text"/>
108	790	208	395	Reissue filing fee	<input type="text"/>
114	150	214	75	Provisional filing fee	<input type="text"/>
SUBTOTAL (1) (\$)					

2. CLAIMS

Total Claims	-20 =	Extra	Fee from below	Fee Paid
Independent	<input type="text"/>	X	<input type="text"/>	<input type="text"/>
Claims	<input type="text"/>	-3 =	<input type="text"/>	<input type="text"/>
Multiple Dependent Claims	<input type="text"/>	X	<input type="text"/>	<input type="text"/>

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid		
103	22	203	11	Claims in excess of 20	<input type="text"/>
102	82	202	41	Independent claims in excess of 3	<input type="text"/>
104	270	204	135	Multiple dependent claim	<input type="text"/>
109	82	209	41	Reissue independent claims over original patent	<input type="text"/>
110	22	210	11	Reissue claims in excess of 20 and over original patent	<input type="text"/>
SUBTOTAL (2) (\$)					

3. ADDITIONAL FEES

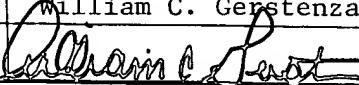
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid		
105	130	205	65	Surcharge - late filing for or oath	<input type="text"/>
127	50	227	25	Surcharge - late provision filing fee or cover sheet	<input type="text"/>
139	130	139	130	Non-English specification	<input type="text"/>
147	2,520	147	2,520	For filing a request for reexamination	<input type="text"/>
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	<input type="text"/>
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	<input type="text"/>
115	110	215	55	Extension for reply within first month	<input type="text"/>
116	400	216	200	Extension for reply within second month	<input type="text"/>
117	950	217	475	Extension for reply within third month	<input type="text"/>
118	1,510	218	755	Extension for reply within fourth month	<input type="text"/>
128	2,060	228	1,030	Extension for reply within fifth month	<input type="text"/>
119	310	219	155	Notice of Appeal	<input type="text"/>
120	310	220	155	Filing a brief in support of an appeal	<input type="text"/>
121	270	221	135	Request for oral hearing	<input type="text"/>
138	1,510	138	1,510	Petition to institute a public use proceeding	<input type="text"/>
140	110	240	55	Petition to revive - unavoidable	<input type="text"/>
141	1,320	241	660	Petition to revive - unintentional	<input type="text"/>
142	1,320	242	660	Utility issue fee (or reissue)	<input type="text"/>
143	450	243	225	Design issue fee	<input type="text"/>
144	670	244	335	Plant issue fee	<input type="text"/>
122	130	122	130	Petitions to the Commissioner	<input type="text"/>
123	50	123	50	Petitions related to provisional applications	<input type="text"/>
126	240	126	240	Submission of Information Disclosure Stmt	<input type="text"/>
581	40	581	40	Recording each patent assignment per property (times number of properties)	<input type="text"/>
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))	<input type="text"/>
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))	<input type="text"/>
Other fee (specify)					<input type="text"/>
Other fee (specify)					<input type="text"/>
Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$)	240.

SUBMITTED BY

Typed or Printed Name William C. Gerstenzang

Complete (if applicable)

Reg. Number 27,552

Signature 

Date

10/4/99

Deposit Account User ID

19-3869

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

Beiersdorf 435-WCG:lad
1120-Lt-pu

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ghita LANZENDORFER, et al.

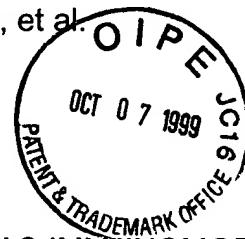
Serial No. : 08/849,525

Filed : August 29, 1997

For : USE OF FLAVONOIDS AS IMMUNOMODULATING
OR IMMUNO-PROTECTIVE AGENTS IN COSMETIC
AND DERMATOLOGICAL PREPARATIONS

Art Unit : 1615

Examiner : Venkat



October 4, 1999

Hon. Asst. Commissioner For Patents
Washington, D. C. 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with 37 CFR § 1.56 and § 1.97(c), Applicants wish to
call the attention of the Examiner to the references listed below.

- (1) E.P. 496,649, 7/29/92.
- (2) Schüssler, Monika und Hözl, Josef, Deutsche Apotheker
Zeitung, 132, Nr. 25 (1992), pgs. 1327-1329.
- (3) Vennat, B., Gross, D.; Pourrat, A.; Pourrat, H.; Pharm. Acta
Hebr. 67, Nr. 1 (1992), pgs. 11-14.
- (4) Broussalis, A.M., Ferraro, G.E., et al.; Biochem. Syst. Ecol.,
16, Nr. 4 (1988), pgs. 401-402.

- (5) Fraga, Cesar E., Martino, Virginia S., et al.; Biochem. Pharmacol., 36, Nr. 5 (1987), pgs. 719-720.
- (6) Chem. Abstract. 120: 226663b (1994).
- (7) Chem. Abstract 107: 205272q (1987).
- (8) Chem. Abstract 97: 123964c (1982).
- (9) Chem. Abstract 71: 64044r (1969).
- (10) E.P. 595,694, 5/4/94.
- (11) Chem. Abstracts 112: 135 978b (1990)
- (12) Bergwein K.: Extrakte aus Heilkräutern in der Kosmetik. In: Seifen-Öle-Fette-Wachse, 1968, Jg. 94, No. 25, pgs. 885-886.
- (13) Dr. Fiedler H.P. In: Lexikon der Hilfsstoffe für Pharmazie, Kosmetik und angrenzende Gebiete, Aulendorf: Editio Cantor, 1981, pg. 386.

Copies of these references are submitted herewith along with Form PTO-1449.

The Commissioner is hereby authorized to charge the fee for consideration of this information disclosure statement, which is set forth in § 1.17(p), to Deposit Account No. 19-3869.

The relevance of the listed references is as follows. Each of the references was cited in the Search Report issued by the German Patent Office.

A copy of this Search Report is also enclosed.

Consideration of the foregoing in relation to this application is respectfully requested.

Respectfully submitted,

SPRUNG KRAMER SCHAEFER & BRISCOE

By William C. Gerstenzang
William C. Gerstenzang
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SPRUNG KRAMER SCHAEFER & BRISCOE

By William C. Gerstenzang

Date October 4, 1999